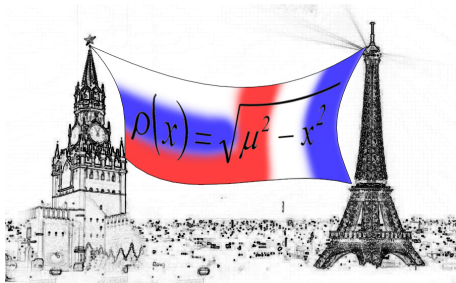


Matrix Models, Check-operators & Quantum Spectral Curves

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Plan

- Introduction
- Multiple solutions to the Virasoro constraints
- Check-operators
- Seiberg-Witten (SW) like solutions and integrable properties
- Quantum curves
- Quantum curves from degenerate conformal blocks
- Modular kernels in CFT

Old Story:

Matrix Models: Virasoro constraints = loop equations = Ward identities + integrability

New Story:

Check Operators: The space of solutions to the loop equations + quantized Whitham flows

An application:

Strings: matrix model networks as a tool to study topological strings and Nekrasov functions.

Algebraically: conformal blocks of Virasoro/W and Ding-Iohara-Miki algebras

Quantum field theory: supersymmetric quiver gauge theories of Seiberg-Witten type

Two-parametric deformations of Seiberg-Witten (SW) systems:

Gauge theory

Nekrasov function

quantum SW system

Seiberg-Witten system

Integrable system

conformal matrix models, KP hierarchy

$\downarrow \epsilon_2 \rightarrow 0$

quantum many-body integrable system, $\hbar = \epsilon_1$

$\downarrow \epsilon_1 \rightarrow 0$

classical finite-dimensional integrable system

Spectral curves

degenerate conf. block equation

Schrödinger (Baxter) equation

Spectral curve + Whitham flows

Matrix integrals and check-operators

Simplest example: the Hermitean matrix integral

$$Z = \int dM \exp [\text{Tr} V(M)]$$

where we parameterize

$$V(M) = \sum_{k=0} t_k M^k$$

Loop equations = Virasoro constraints:

$$L_n Z = 0, \quad n \geq -1$$

$$L_n = \sum t_k \frac{\partial}{\partial t_{k+n}} + \sum_{a+b=n} \frac{\partial^2}{\partial t_a \partial t_b}$$

$$\frac{\partial Z}{\partial t_0} = N Z$$

Solutions as formal series

There is no solution such that Z is a power series!

Gaussian case ($t_2 \rightarrow t_2 - \alpha$):

$$Z = \int dM \exp [-\alpha \text{Tr} M^2 + \text{Tr} V(M)] = c_0 + c_1 t_1 + c_2^{(1)} t_1^2 + c_2^{(2)} t_2 + \dots$$

The coefficients are constructed from

$$\int dM \exp [-\alpha \text{Tr} M^2] M^k$$

$$c_i \sim \alpha^{-i/2}$$

which is evident by dimensional argument.

More general (Dijkgraaf-Vafa) case:

$$Z = \int dM \exp [\text{Tr}W(M) + \text{Tr}V(M)]$$

$W(M) = \sum^n T_k M^k$, $t_k \longrightarrow T_k + t_k$ in the Virasoro constraints.

The coefficients are constructed from

$$Z = \int dM \exp [\text{Tr}W(M)] M^k$$

i.e. there are combinations of T_k 's in denominators.

How many solutions?

Solutions are parameterized by an arbitrary function of $n - 2$ variables T_k . Two of them are fixed by

$$L_0 Z = 0, \quad L_{-1} Z = 0$$

Thus, for $n = 2$ (Gaussian case) there is a unique solution.

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Technical tools: loop equations

Generating functions of correlators = resolvents:

$$\rho^{(1)}(z) = \left\langle \text{Tr} \frac{1}{z - M} \right\rangle = \frac{1}{Z} \hat{\nabla}_z Z = \hat{\nabla}_z \mathcal{F}$$

$$\rho^{(2)}(z_1, z_2) = \left\langle \text{Tr} \frac{1}{z_1 - M} \text{Tr} \frac{1}{z_2 - M} \right\rangle_c = \hat{\nabla}_{z_1} \hat{\nabla}_{z_2} \mathcal{F}$$

...

where

$$\hat{\nabla}_z = \sum_k \frac{1}{z^{k+1}} \frac{\partial}{\partial t_k}, \quad Z = \exp \mathcal{F}$$

Loop equations:

$$[T(z)Z]_- = 0, \quad T(z) \equiv \sum \frac{L_n}{z^{n+2}}$$

$$\rho^{(1)}(z)^2 + \hat{\nabla}_z \rho^{(1)}(z) + W'(z) \rho^{(1)}(z) + \underbrace{\left[W'(z) \rho^{(1)}(z) \right]_+}_{\text{polynomial of degree } n-2} + \underbrace{\left[V'(z) \rho^{(1)}(z) \right]_-}_{=0 \text{ as } t_k \rightarrow 0} = 0$$

Check-operator: acting on the space of solutions.

$$f_{n-2}(z) = \left[W'(z) \rho^{(1)}(z) \right]_+ \equiv \check{R}_z \mathcal{F}, \quad \check{R}_z = - \sum_{a,b} (a+b+2) T_{a+b+2} z^a \frac{\partial}{\partial T_b}$$

Classical spectral curve:

Genus expansion:

$$t_k, T_k \rightarrow \frac{1}{g} t_k, \frac{1}{g} T_k, \quad Z = \exp \left(\frac{1}{g^2} \mathcal{F} \right), \quad \mathcal{F} = \sum_k g^{2k} \mathcal{F}_k$$

Leading term of the genus expansion:

$$\rho^{(1)}(z) = \frac{-W'(z) + y(z)}{2}$$

where

$$y(z)^2 \equiv W'(z)^2 - 4f_{n-2}(z)$$

determines the classical spectral curve.

Examples:

- Gaussian case: $n = 2$, $f_0(z) = \text{const}$, $y^2 = z^2 - \text{const}$: semi-circle distribution.
- W_3 -case: $n = 3$, $f_1(z)$ is a linear function, the spectral curve is a torus, the space of solutions is described by a function of one variable.

Meaning: typical integration in the matrix (eigenvalue) integral

$$\int dx e^{W_3(x)}$$

implies two independent choices of contour (Airy functions). N eigenvalues in the integrand part into two groups (two contours), N_1 and N_2 , $N_1 + N_2 = N$. This describes the two-cut solution (torus). Arbitrary function of one variable corresponds to one arbitrary variable, fraction N_1/N_2 .

Summary of general properties:

1. Any solution is unambiguously labeled by an arbitrary function of $n - 2$ T -variables: the bare $\mathcal{F}^{(0)}(T)$.

2. Solutions of the Virasoro constraints (or loop equations) are constructed from $\mathcal{F}^{(0)}(T)$ by an evolution operator $\hat{U}(T, t)$ that does not depend on $\mathcal{F}^{(0)}(T)$

:

$$Z(T, t) = \hat{U}(T, t)e^{\mathcal{F}^{(0)}(T)}$$

3. The evolution operator can be completely expressed in terms of the unique operator

$$\check{y} \equiv \sqrt{W'(x)^2 - 4\check{R}(x)}, \quad \check{R}(x) \equiv - \sum_{a,b=0} (a+b+2)T_{a+b+2}x^a \frac{\partial}{\partial T_b}$$

its derivatives and $W'(x)$.

Consequence:

$$\rho^{(1)}(z) = \hat{\nabla}_z(t)\mathcal{F} = \check{\nabla}_z(T)\mathcal{F}$$

The main check-operator $\check{\nabla}_z$ is expressed through y , its derivatives and $W'(x)$. Important:
 $[\hat{\nabla}_{z_1}, \hat{\nabla}_{z_2}] = 0$, but $[\check{\nabla}_{z_1}, \check{\nabla}_{z_2}] \neq 0$.

Main property:

$$\left[\oint_{A_i} dz \check{\nabla}_z, \oint_{B_j} dz \check{\nabla}_z \right] = \delta_{ij}$$

The curve: $y^2 = W'^2(x) - 4\check{R}(x)\mathcal{F}^{(0)}$.

DV/SW system:

Choose the basis of eigenfunctions $\oint_{A_i} dz \check{\nabla}_z Z_a = a_i Z_a$, i.e. $\oint_{A_i} dz \check{\nabla}_z \mathcal{F}_a = \oint_{A_i} dz \rho^{(1)}(z) = a_i$, then

$$\oint_{B_i} dz \rho^{(1)}(z) = \frac{\partial \mathcal{F}}{\partial a_i}$$

N_i are associated with

$$a_i = \oint_{A_i} \rho^{(1)}(z) dz$$

Integrable properties:

- $Z_N(t)$ is a τ -function of the Toda chain (as a formal series)
- $Z_{DV}(T, N_i)$ is the SW system; it satisfies the Whitham hierarchy in the planar limit, T_i are Whitham flows.

Quantum spectral curve:

The Backer-Akhiezer function:

$$\Psi_{BA}(z) = e^{V(z)/2} \Psi(z)$$

where

$$\Psi(z) = \frac{Z(t_k - \frac{1}{kz^k})}{Z(t)} = \frac{1}{Z(t)} z^N e^{\int^z d\xi \hat{\nabla}_\xi} = \langle \det(z_M) \rangle$$

and $\langle \dots \rangle$ means the matrix model average. From the Virasoro constraints
the quantum spectral curve:

$$\left[\partial_z^2 + V'(z) \partial_z + \check{R}_z \right] \Psi(z) = 0$$

In the limit, $\partial \log \Psi(z) = \rho^{(1)}(z)$ it turns into the classical spectral curve (planar loop equation):

$$\rho^{(1)}(z)^2 + V'(z) \rho^{(1)}(z) + \check{R}_z \mathcal{F} = 0$$

The equation for the Baker-Akhiezer function looks as

$$\left[\partial_z^2 - \frac{1}{2} V''(z) + \frac{1}{4} V'(z)^2 - \frac{1}{2} [\check{R}_z V(z)] + \check{R}_z \right] \Psi_{BA}(z) = 0$$

\check{R}_z contains the derivatives w.r.t. the Whitham times.

AGT and conformal blocks: quantum spectral curve

Conformal block:

$$G(x, \Delta; \Delta_i, c), \Delta = (Q - \alpha)\alpha, c = 1 + 6Q^2, Q = b - 1/b, V_\alpha(z) = e^{i\alpha\phi(z)}.$$

Degenerate field:

$(b^2 L_{-1}^2 - L_{-2})V_{1/2b}(z)$ is a primary field, i.e. $V_{1/2b}(z)$ is degenerate at the second level. The equation for the 5-point block with the degenerate field at z :

$$\left[b^2 z(z-1)\partial_z^2 + (2z-1)\partial_z - \underbrace{\frac{q(q-1)}{z-q}\partial_q}_{\text{check-operator}} + \text{rational function of } q \right] G_5(z|0, q, 1, \infty) = 0$$

This is the quantum spectral curve, q is a counterpart of T_k .

Comment.

In the limit when all $\Delta_i \rightarrow \infty$, this equation is reduced to the non-stationary Schrödinger $SU(2)$ periodic Toda chain equation:

$$\left(\partial_z^2 - 2\Lambda^2 \cosh z + \frac{1}{4} \frac{\partial}{\partial \Lambda} \right) G_5^{Toda} = 0$$

where Λ is the limit of rescaled q .

[log \$\Lambda\$ is known to play the role of the first Whitham time in the Seiberg-Witten theory.](#)

Conformal matrix model.

This quantum curve is for the conformal matrix model:

$$G_4(0, q, 1, \infty) = q^{2\alpha_1\alpha_2} (1 - q)^{2\alpha_2\alpha_3} \int \prod_i du_i \Delta^{2b^2}(u) u_i^{2b\alpha_1} (1 - u_i)^{2b\alpha_3} (q - u_i)^{2b\alpha_2}$$

where $\Delta(u)$ is the Van-der-Monde determinant and α, α_4 are fixed from the conditions:

- N_1 contours $[0, q]$ with

$$bN_1 = \alpha - \alpha_1 - \alpha_2$$

- N_2 contours $[0, 1]$ with

$$bN_2 = Q - \alpha - \alpha_3 - \alpha_4$$

N_1 and N_2 are associated with the Dijkgraaf-Vafa N_i . Since

$$G_4 = \left\langle V_{\alpha_1}(0) V_{\alpha_2}(q) V_{\alpha_3}(1) V_{\alpha_4}(\infty) \left(\int_0^q V_b(u) du \right)^{N_1} \left(\int_0^1 V_b(u) du \right)^{N_2} \right\rangle_{CFT}$$

the degenerate block $G_5 = \langle V_{1/2b}(z) \dots \rangle_{CFT}$, $\langle V_{1/2b}(z) V_b(u) \rangle_{CFT} = z - u$, $G_5 = \langle \det(z - u_i) \rangle$,

the equation for G_5 is exactly the quantum spectral curve.

Modular kernels in CFT

Modular kernel

$$G(x, a; a_i, b) = \int da' K(a, a'; a_i, b) G(1-x, a'; a_i, b)$$

$$a_i = \alpha_i - Q/2.$$

Modular kernel (Ponsot, Teschner, 1999)

$$K(a, a'; a_i, b) \sim \int dx \prod_{i=1}^4 \frac{S_b(\xi_i)}{S_b(\zeta_i)}$$

ξ_i, ζ_i are linear functions of all parameters and x .

Representation of $G(x, a; a_i, b)$ as a β -ensemble with $\beta = b^2$

$$K(a, a'; a_i, b) = e^{4\pi i a a'}$$

1-point toric conformal block

$$G(\tau, a; \mu) = 1 + q \left(\frac{\Delta_{ext}(1 - \Delta_{ext})}{2\Delta} + 1 \right) + O(q^2)$$

with $\Delta_{ext} = \mu(Q - \mu)$, μ is the adjoint hypermultiplet mass.

$$G(\tau, a; \mu) = \int da' K(a, a'; \mu) G(-\tau^{-1}, a'; \mu)$$

Modular kernel due to Ponsot, Teschner:

$$K(a, a'; \mu) = \int d\xi \frac{S_b(\xi + \mu/2 - a') S_b(\xi + \mu/2 + a')}{S_b(\xi + Q - \mu/2 - a') S_b(\xi + Q - \mu/2 + a')} e^{4\pi i a \xi}$$

Modular kernel from β -ensemble:

$$G(\tau, a; \mu) = \frac{1}{N(a)} Z(\tau, a; \mu), \quad N(a) = \frac{\Gamma_b(2a + \mu) \Gamma_b(2a + Q - \mu)}{\Gamma_b(2a) \Gamma_b(2a + Q)}$$

$$Z(\tau, a; \mu) = \int da' e^{2\pi i a a'} Z(-\tau^{-1}, a'; \mu)$$

Quantum oscillator

$$\begin{aligned}A &= e^{i\hat{P}}, & B &= e^{i\hat{Q}} \\ \hat{A}Z_a(Q) &= e^{ia}Z_a(Q), & \hat{B}\tilde{Z}_a(Q) &= e^{ia'}\tilde{Z}_{a'}(Q) \\ Z_a(Q) &= \int da' e^{iaa'/\hbar} \tilde{Z}_{a'}(Q)\end{aligned}$$

Check-operators

$$\begin{aligned}\check{A} &= e^{ia}, & \check{B} &= e^{\hbar\partial_a} \\ \check{A}_a K(a, a') &= \check{B}_{a'} K(a, a')\end{aligned}$$

We expect the conformal block to be an eigenfunction of some \mathcal{L}_A :

$$\mathcal{L}_A G = \lambda G, \quad \mathcal{L}_B G = \Lambda(\partial_\lambda) G$$

Claim

$$\mathcal{L}_\gamma = e^{b\oint_\gamma \check{\nabla}}$$

Since $[\mathcal{L}_A, \mathcal{L}_B] = 1$, we obtain that $K(a, a'; \mu)$ is Fourier!!!

Subtlety

$\check{\nabla}$ has two branches, i.e. there are $\check{\nabla}^\pm$! G is globally defined but $Z(a)$ is *not*! There are two branches at $a > 0$ and $a < 0$. Thus,

$$\mathcal{L}_\gamma = \left[\frac{1}{N(a)} e^{b\oint_\gamma \check{\nabla}^+} N(a) + \frac{1}{N(-a)} e^{-b\oint_\gamma \check{\nabla}^-} N(-a) \right]$$

One can realize check-operators in the space of eigenvalues:

$$\oint_A dz \check{\nabla}_z^\pm \rightarrow \pm 2\pi i a, \quad \oint_B dz \check{\nabla}_z^\pm \rightarrow \pm \frac{1}{2} \partial_a$$

Thus, one obtains

$$\mathcal{L}_B = \frac{\Gamma(2ab)\Gamma(bQ + 2ab)}{\Gamma(b\mu + 2ab)\Gamma(b(Q - \mu) + 2ab)} e^{\frac{b}{2}\partial_a} + (a \rightarrow -a)$$

Since $\mathcal{L}'_A = \cos 2\pi b a$ the equations $\check{A}_a K(a, a') = \check{B}_{a'} K(a, a')$ for the modular kernel becomes

$$\frac{1}{2} \left(\frac{\sin 2\pi b(a - \mu/2)}{\sin 2\pi b a} e^{-\frac{b}{2}\partial_a} + \frac{\sin 2\pi b(a + \mu/2)}{\sin 2\pi b a} e^{\frac{b}{2}\partial_a} \right) K(a, a') = \cos 2\pi b a' K(a, a')$$

At large a only one exponential survives giving the pure exponential kernel. The solution of the full equation is given by

$$K(a, a'; \mu) = \int d\xi C_1(\xi) C_2(a') \frac{S_b(\xi + \mu/2 - a') S_b(\xi + \mu/2 + a')}{S_b(\xi + Q - \mu/2 - a') S_b(\xi + Q - \mu/2 + a')} e^{4\pi i a \xi}$$

which coincides with the Ponsot-Teschner formula at $C_1 = C_2 = 1$.

Thank you for your attention!